## **'Negative' World of Metamaterials** or How Ambiguity of Square Root Reversed **Electromagnetics** "Negativan" svijet metamaterijala ili kako je dvoznačnost kvadratnog korijena okrenula elektromagnetizam naglavačke

Silvio Hrabar

Faculty of Electrical Engineering and Computing, University of Zagreb, Croatia

## Outline

- •Mathematics versus Physics and Engineering
- •Electromagnetics of Classical ("Positive") Materials
- •Are "Negative" Materials Possible?
- •New Physical Phenomena Fundamental research
- •Potential Engineering Applications Applied Research
- •Conclusions

## **Mathematics versus Physics and Engineering**



## What is the difference among engineers, physicists and mathematicians ?

Theodor von Karman (1881-1963)

Scientists look at things that are and ask "why";

Engineers dream of things that never were and ask "why not"





#### **Electromagnetics of Classical ("Positive") Materials**

### The Story of Electricity

- •Ancient Greece Why does amber attract papyrus ?
- •Charles Augustin de Coulomb (1736-1806) and concept of Electric field





#### **The Story of Magnetism**

•Ancient Greece – a strange rock from island of Magnesia



#### Andre Marie Ampere (1775-1836)



### Wedding of Electricity and Magnetism – Electromagnetism (Electromagnetics)



$$V = -\frac{\partial B}{\partial t}$$



Are the E and B Vectors Enough?

Yes in principle, but what about the influence of matter?

...atomic dimensions are on order of 10<sup>-11</sup> m!



$$\vec{D} = \varepsilon \cdot \vec{\overline{E}}$$
 Electric flux density  
 $\vec{H} = \frac{1}{\mu} \cdot \vec{\overline{B}}$  Magnetic field





Solution of these equations is a wave!

### What is EM Harmonic Wave ?



Wave vector

$$\vec{k} = \frac{2\pi}{\lambda} \vec{a}_0$$

### From Theory towards Applications...

#### •Heinrich Rudolf Hertz (1857-1894)

•Nikola Tesla (1856-1943)

### •Guglielmo Marconi (1874-1937)







#### .... and here we are !







 $\vec{E}, \vec{H}, \quad \mathcal{E}, \mu.$ 

Are "Negative" Materials Possible? (Are some solutions of Maxwell equations meaningless?)

What would happen if  $\mu$  and  $\varepsilon$  were negative numbers? (Veselago, 1968)

> • A plane wave solution of Maxwell equations:

$$\vec{E} = Ae^{-j\vec{k}\vec{r}}$$

$$\vec{k} = \vec{u}_0 \,\omega \sqrt{\mu \varepsilon}$$

$$\vec{P} = \vec{E} \times \vec{H}$$
Backward wave
$$\vec{k}$$
Left-han material





Right-handed





## **One-dimensional Wave Propagation in Material with** $\mu < 0$ and $\varepsilon < 0$ (backward wave propagation) Vp<0 Vg>0 Ε source M

Χ

• *P* is opposite to *k* !

• This causes 'reversion' of many basic electromagnetic phenomena such as Snell law, Doppler effect e.t.c.

## 'Reversion' of Snell Law



# Concave and Convex Lenses using material with $\mu < 0$ and $\varepsilon < 0$



## **The Concept of Metamaterial**

 $\mu\epsilon\tau\alpha$  = meta = beyond (Greek)



•  $a \ll \lambda$ , the structure behaves as a homogenous material with some new  $\mu$  and  $\mathcal{E} \Longrightarrow$ metamaterial

## **Classification of Metamaterials**







The 'Split-ring Resonator' Structure with  $\mu < 0$  (Pendry 1999)



## The first reported Backward-wave Material (Smith et al. 2000)



SRR's and wires :  $\mu < 0 \varepsilon < 0$ , k becomes positive real number  $\Rightarrow$  backward-wave propagation

## Capacitivelly loaded loops and thin-wire structure (Hrabar, Barbaric; 2000 –1/3)





## Capacitivelly loaded loops and thin-wire structure (Hrabar, Barbaric 2000; –2/3)



Capacitivelly loaded loops and thin-wire structure (Hrabar, Barbaric 2000; –3/3)



## The SRR behaves as capacitivelly loaded loop (Hrabar, Bartolic, Eres; 2002)



#### Schelkunoff, Friis –'Antennas, Theory and Practice', 1952

by decreasing the permeability and increasing the dielectric constant.



This is particularly undesirable since the effects of the changes in µ and z on the index of refraction are opposite. What we need is a way of increasing the permeability rather than decreasing it.

#### Methods for increasing the per-19.10meability of artificial dielectrics

Consider a loop with a capacitor (Fig. 19.10). Let the impressed magnetic intensity  $H_0$  be in the positive z direction. The counterclockwise induced current is

Fro. 19.10 Aloop loaded with enpacitamon.

$$I = \frac{-j\omega\mu_0 H_0 S}{j\omega L + (1/j\omega C)} = \frac{\omega^2 \mu_0 C S H_0}{1 - \omega^2 L C}, \qquad (52)$$

where L is the inductance of the loop and C the capacitance in series with it. The moment of the magnetic doublet equivalent to the loop is (53)

$$= \mu_0 I S$$
.

Fug. 19.11 A loop approaching reso-

nance.

Hence, the magnetic polarizability is

 $D_{m}$ 

$$\chi_{m}^{0} = \frac{\omega^{2} \mu_{0}^{2} C S^{2}}{1 - \omega^{2} L C} = \frac{\omega^{2} \mu_{0} \epsilon_{0} (C/\epsilon_{0}) S^{2}}{1 - \omega^{2} \mu_{0} \epsilon_{0} (L C/\mu_{0} \epsilon_{0})} \mu_{0}.$$
(54)

The ratios  $C/\epsilon_0$  and  $L/\mu_0$  depend only on the geometry of the metal object;  $\omega^2 \mu_0 \epsilon_0 = 4\pi^2/\lambda^2$  where  $\lambda$  is the wavelength in free space corresponding to the given frequency.

The capacitance may be supplied by the loop itself (Fig. 19.11) if

#### Wire Medium as Transmission Line (Hrabar 2003,2006)



## New Physical Phenomena -Fundamental research

## Experimental Verification of Negative Refraction (Shelby et al. 2001)




## Experimental Verification of Negative Refraction (Shelby et al. 2001)







## Experimental Verification of Negative Refraction (Hrabar, Bartolic; 2003-1/2)



## Experimental Verification of Negative Refraction (Hrabar, Bartolic; 2003-2/2)



## Simulation of Negative Refraction at Planparallel Slab with n=-1 (Ziolkowski; 2003)



## Verification of BW propagation by measurement of Negative Refraction (Hrabar, Bartolic, Sipus, 2004)



a) A case of 'forward-wave' slab ( $\varepsilon > 0, \mu > 0$ ) b) A case of 'backward-wave' slab ( $\varepsilon < 0, \mu < 0$ )







## Experimental verification (Grbic et al. 2004 –1/2)



Figure taken from G. Eleftheriades (University of Toronto) presentation slides

### **Experimental verification (Grbic et al. 2004 – 2/2)**



Figure taken from G. Eleftheriades (University of Toronto) presentation slides

## Potential Engineering Applications I – Guiding of EM energy





## Miniaturized Waveguide based on Anisotropic Meta-material (Hrabar, Bartolic, Sipus; 2003)



## Miniaturized Waveguide based on Negative Permeability Metamaterial (transversal dimension reduced down to 25%)



Miniaturized waveguide (a=15 mm) filled with capacitively loaded rings – the transversal width reduced down to 4% !



## Potential Engineering Applications II – Antennas

Does an open-ended SNG-filled miniaturized waveguide radiate into a free space ? (Hrabar, Zivkovic; 2005)

The simulated radiation (BW mode, k<0)



## Experiemental Miniaturized Open-ended MNG Waveguide Radiator (Hrabar, Jankovic 2005)





## **Phenomenon of ultra-refraction**



$$\frac{\sin\theta_1}{\sin\theta_2} = \frac{\sqrt{\varepsilon_{r2}}}{\sqrt{\varepsilon_{r1}}}$$

•If medium 2 is air

$$\theta_2 = \sin^{-1} \left( \sqrt{\varepsilon_{r1}} \sin(\theta_1) \right)$$
  
if  $\varepsilon_r = 0 \Rightarrow \theta_2 = 0.$ 

#### EXPERIMENTAL INVESTIGATION OF RADIATION PROPERTIES OF AN ANTENNA EMBEDDED IN LOW PERMITTIVITY THIN-WIRE-BASED METAMATERIAL

Davor Bonefačić, Silvio Hrabar, and Dražen Kvakan Department of Wireless Communications Faculty of Electrical Engineering and Computing University of Zagreb Unska 3 Zagreb HR-10 000, Croatia



Received 12 June 2006



—— 10.25 GHz —— 10.50 GHz

> •Figures copied from the original article

# Shortened Horn with ENZ Metamaterial (Hrabar, Bonefacic, Muha, 2008) Simulated magnitude of the y-componnet of electric field of the shortened horn



•Simulated magnitude of the y-componnet of electric field of the shortened horn with ENZ slab



## •Comparison of prototyped shortened horns



TELECOM // WIRELESS

#### NEWS Metamaterials Arrive in Cellphones

LG Chocolate BL40 is first cellphone to use a metamaterials antenna



Image: LG

multiband functionality.

BY SASWATO R. DAS // OCTOBER 2009 28 October 2009-The quest to build more powerfal multiband mobile handsets has gotten a boost from a relatively new class of materials. Called metamaterials, they are specifically engineered to have properties that do not occur naturally, such as the ability to bend light the wrong way. For manufacturers of mobile devices, recent advances in metamaterials promise a way to shrink size while still retaining

## **Potential Engineering Applications III – Resonators**



•The resonance frequency depends on  $d_2/d_1$  instead of  $d_2+d_1$  which would hold in ordinary materials

# **Experimental verification of Engheta's resonator (Hrabar et al., 2004)**



## **Potential Engineering Applications IV – Going optical!**

## **Going Optical** - Approach I Scaling Down the Size of Inclusions



Figure taken from V. Shalaev (Purdue University) presentation slides

## Going Optical –Approach II Make Use of Surface Plasmons





The Lycurgus Cup (glass; British Museum; 4<sup>th</sup> century A. D.)

## Going Optical – A 'Soft' Approach Make Use of Surface Plasmons



Permittivity of silver

# From Plasmonic Spheres to Nano-circuit Elements in Optical Domain (Alu, Engheta; 2005)

Nano-transmission-lines



### Forward-wave



Backward-wave



## Nano-circuit



## New Idea - Scaled RF Replicas of Plasmonic Structures

Prototyping of 'RF replicas' of plasmonic spheres (Hrabar, Eres; Kumric, 2007)



Simulated E-field distribution of ideal plasmonic nanosphere

Best's spherical resonator





## Prototyping of "Plasmonicc" spheres







## Measurement of E-field phase distribution along four-sphere chains (RF replicas of plasmonic WG)



# Prototyping of 'RF analog of 'plasmonic circular cluster ' (Hrabar, Muha, Zaluski, Mlakar, 2010)









Figure taken from N. Engheta (University of Pennsylvania) presentation slides


Figure taken from N. Engheta (University of Pennsylvania) presentation slides



Figure taken from N. Engheta (University of Pennsylvania) presentation slides

#### Scaled prototype of optical D-dot wire (Muha, Hrabar et al. 2011)









### Near-field Superlens



Figure taken from V. Shalaev (Purdue University) presentation slides

# Experimentally achieved images

N. Fang, H. Lee, C. Sun, X. Zhang, Science **308**, **534**, (2005).

written object (**top**)

optical image (center)

optical image without super- lens



## **Potential Engineering Applications V – Is it feasible to be invisible?**



Figure taken from V. Shalaev (Purdue University ) presentation slides

## **'Transformation Electromagnetics'** (Dollin 1961, Pendry 2006)



### **First Experimental Results (Schurig 2006)**



### **Simulations**

### Measurements



Problem 1 All these structures are again extremely narrowband!

Year and Research group	1st time posted and publication	Refractive index, n'	Wavelength J	Figure of Merit F= n \/n *	Structure used
2005:					
Purdue	April 13 (2005) arXiv:physics/0504091 Opt. Lett. (2005)	-0.3	1.5 μm	5.1	Paired nanorods
UNM & Columbia	April 28 (2005) arXiv:physics/0504208 Phys. Rev. Lett. (2005)	-3	2.0 µm	0.5	Nano-fishnet with round voids
2006:					•
UNM & Columbia	J. of OSA B (2006)	-4	1.8 µm	2.0	Nano-fishnet with round voids
Karlsruhe & ISU	OL. (2006) OL (2007)	-1 -1	1.4 μm 1.4 μm	3.0 2.5	Nano-fishnet 3-layer nanofishnet
Karlsruhe & ISU	OL (2006)	-0.6	780 nm	0.5	Nano-fishnet
Purdue	OL (2007)	-0.9 -1.1	770 nm 810nm	0.7 1.3	Nano-fishnet

Problem 2 All these structures have significant loss ! Figure taken from V. Shalaev (Purdue University ) presentation slides

# Dispersion models of passive materials (metamaterials) with $\epsilon_r < 1$ or $\mu_r < 1$

•Lorentz model •Drude model real part ε<sub>reffz</sub> •Imaginary real part part imaginary part 0 for f<sub>p</sub> mp

Both of these models are highly dispersive for  $0 < \epsilon_r < 1$  (or  $0 < \mu_r < 1$ )

### Resonance is always present in passive metamaterials – WHY? )



•URL: http://www.walterfendt.de/ph14e/resonance.htm © Walter Fendt, September 9, 1998



### **Can one go around the dispersion-energy limitations?**



The only way is to use active medium (i.e. to introduce active elements) !

# Active medium should introduce 'assisting negative restoring force' !



•URL: http://www.walterfendt.de/ph14e/resonance.htm © Walter Fendt, September 9, 1998

### •Going Active - Non-Foster reactive elements (negative C and negative L)



 $Z_{in} = \frac{V_{in}}{I_{in}} = \frac{-V_l}{I_l} = -Z_l$ 

Floating negative impedance (Linvill, 1953)



### New idea : Introduction of negative capacitance into TL (Hrabar et al , APS 2008)



$$\begin{split} C &= C_1 + C_2 \\ C_e &= C_+ + C_- = \left| C_+ \right| - \left| C_- \right| \\ \mathcal{E}_e &= \mathcal{E}_0 + \mathcal{E}_- = \mathcal{E}_0 - \left| \mathcal{E}_- \right| \quad \Rightarrow \quad 0 < \mathcal{E} < 1 \end{split}$$

# •Experimental RF Active ENZ TL with Three Unit Cells (l = 1 m), (Hrabar et.al 2011)







### •Measurement of effective permittivity of ENZ Active TL with Three Unit Cells (Hrabar et.al 2011)



# **Potential Engineering Applications VI – Going nano?**

### Graphene-based One-atom-thick Metamaterials



### Graphene-based Metamaterials



# What's next?

• Nanotechnolgy + metamaterials (plasmonics, ,photonics, lasing, gain materials, superluminal materials, graphene, nano-spheres and nanofilms)

• Gravity, matter, acoustics + metamaterials (black hole metamaterials, seismic metamaterials, acoustic lenses)

# Conclusions

- Metamaterials offer new exciting physical phenomena, which are very often counter-intuitive !
- In author's opinion, this area is not in its infant phase any more and applications, that make use of new intriguing phenomena are expected to come in coming years!
- It is an interdisciplinary field which needs extensive collaboration of different worlds (math, physics, engineering, at least!)